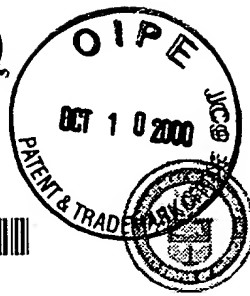


FORMALITIES LETTER



OC00000005318203

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/580,670 | 05/26/2000 | George Hansen | 4931 US |

Robert R Sachs
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306

Date Mailed: 08/11/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$234.
 ■ \$234 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1054.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

710.00 OP
130.00 OP
160.00 OP
54.00 OP

09/26/2000 RUHQDAF1 00000064 09580670

10-12-00

Sector \$
PATENT



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): George A. Hansen
APPLICATION NO.: 09/580,670
FILING DATE: May 26, 2000
TITLE: MANAGING MULTIPLE LIFE CYCLE PLANS
EXAMINER: Unknown
GROUP ART UNIT: 2761
ATTY. DKT. NO.: 16319-04931

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL Post Office to Addressee pursuant to 37 CFR 1.10 to: BOX MISSING PARTS, Commissioner For Patents, Washington, D.C. 20231, on the date shown below: EXPRESS MAIL NO: EL541495945US.

Dated: OCT 10, 2000

By: [Signature]

Daniel R. Brownstone, Reg. No.: 46,581

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to Notice to File Missing Parts dated received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ a signed Assignment and Recordation cover sheet;
- ☒ payment in the amount of \$1094.00 for the surcharge; extra claim fee and assignment recordation fee.



PATENT

☒ Fee Transmittal (in duplicate)

Respectfully submitted,
GEORGE A. HANSEN

Dated: OCT 10, 2000

By: _____

Daniel R. Brownstone, Reg. No.: 46,581

Fenwick & West LLP

Two Palo Alto Square

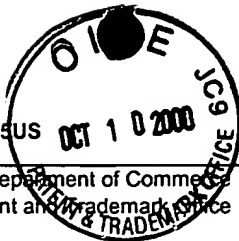
Palo Alto, CA 94306

Tel.: (415) 875-2358

Fax.: (415) 281-1350

16319/04931/SF/5033224.1

EXPRESS MAIL NO: EL541495945US



PTO/SB/17 (6-95)(modified)
Approved for use through 11/30/96, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/95

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1094.00)**

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 09/580,670 |
| Filing Date | May 26, 2000 |
| First Named Inventor | George A. Hansen |
| Group Art Unit | 2761 |
| Examiner Name | Not yet known |
| Attorney Docket Number | 16319-04931 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

2. ☒ Payment Enclosed:
☒ Check ☐ Other

3. ☐ Payment Not Enclosed.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--|------------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | 130 |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month [†] | |
| 116/\$380 | 216/\$190 | Extension for response within second month [†] | |
| 117/\$870 | 217/\$435 | Extension for response within third month [†] | |
| 118/\$1,360 | 218/\$680 | Extension for response within fourth month [†] | |
| 128/\$1,850 | 228/\$925 | Extension for response within fifth month [†] | |
| 119/\$300 | 219/\$150 | Notice of Appeal | |
| 141/\$1,210 | 241/\$605 | Petition to revive unintentionally abandoned application | |
| 142/\$1,210 | 242/\$605 | Utility Issue Fee (Or Reissue) | |
| 143/\$430 | 243/\$215 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 123/\$50 | 123/\$50 | Petitions related to provisional applications | |
| 126/\$240 | 126/\$240 | Submission of Information Disclosure Statement | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146/\$690 | 246/\$345 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$690 | 249/\$345 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$170.00)

FEE CALCULATION (fees effective 11/12/98)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--------------------|--------------------|
| 101/\$690 | 201/\$345 | Utility Filing | 690 |
| 106/\$310 | 206/\$155 | Design Filing | |
| 108/\$690 | 208/\$345 | Reissue | |
| 114/\$150 | 214/\$75 | Provisional Filing | |
| SUBTOTAL (1) | | | (\$ 690.00) |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$78 | 202/\$39 | Independent claims in excess of 3 |
| 104/\$260 | 204/\$130 | Multiple dependent claim |
| 109/\$78 | 209/\$39 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee | | Fee Due | |
|--|------------------------|---------------------------------|---------|----------|---|-----|----|---------|-----|
| For | No. of Existing Claims | Highest No. Previously Paid For | | Extra** | | | | | |
| TOTAL | 6 | minus* | 20 or 0 | = | 0 | x | | = | |
| INDEP | 6 | minus* | 3 or 3 | = | 3 | x | 78 | = | 234 |
| [] First presentation of multiple dependent claim | | | | | | | | | |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$234)

SUBMITTED BY

Typed or Printed Name **Daniel R. Brownstone**

Signature

Complete (if applicable)

Reg. Number **46,581**

Date

10/10/2000

16319/04931/SF/5033227.1

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
Rev. 11/04/99